

PTO/SB/30 (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Approved Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

**FOR** 

## CONTINUED EXAMINATION (RCE) **TRANSMITTAL**

Address to: **Commissioner for Patents Box RCE** Washington, DC 20231

Application Number	09/491,388
Filing Date	January 26, 2000
First Named Inventor	R. Cadoux
Art Unit	3624
Examiner Name	J. C. Pwu
Attorney Docket Number	99629

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2. Submission required under 37 CFR 1.114 a. Y Previously submitted Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on <u>January 7, 20</u>03 (Any unentered amendment(s) referred to above will be entered). Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_ iii. 🗌 Other b. L Enclosed Information Disclosure Statement (IDS) ☐ Amendment/Reply Other Affidavit(s)/Declaration(s) Miscellaneous Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required) RECENIED b. Other 3. Fees The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. a. The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. RCE fee required under 37 CFR 1.17(e) Extension of time fee (37 CFR 1.136 and 1.17) Other iii. 375.00 b. A Check in the amount of \$\_\_\_ enclosed c. Payment by credit card (Form PTO-2038 enclosed) WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED 42,747 Mark G. Knedeisen Name (Print |Type) Registration No. (Attorney/Agent) 5,2003 Signature Date CERTIFICATE OF MAILING OR TRANSMISSION I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

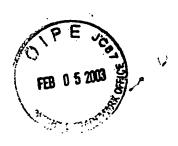
Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Assistant Commissioner for Patents, Box RCE, Washington, DC 20231.

Date

00000060 09491388 02/07/2003 CV0111

Name (Print/Type)

Signature



PATENT

FEB 1 2 2003

GROUP SOLD

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Robert L. Cadoux

Application No.: 09/491,388

Group Art Unit: 3624

Filed:

January 26, 2000

Examiner: Pwu

For:

A SERIALLY STAGED, INITIAL PUBLIC STOCK OFFERING METHOD

**Commissioner for Patents** 

**Box: RCE** 

Washington, DC 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EU990153554US

Date of Deposit February 5, 2003

I hereby certify that the following attached paper or fee

## REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL CHECK PAYABLE TO PTO (For RCE Fees)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Box: RCE, Washington, DC 20231.

Typed or printed name of person mailing paper or fee)

Signature of person mailing paper or fee)

NOTE:

Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g. complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. If the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

NOTE:

The label number need not be placed in each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing or the drawings be accompanied by a set of informal drawings on which the label number is placed.

(Express Mail Certificate [8-3])